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In situ Raman spectroscopy of plasma–catalyst interface for conversion of CO₂ and CH₄ to valuable compounds

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Abstract

This study demonstrates the reduction of carbon dioxide (CO₂) via a plasma–catalyst hybrid process in the presence of methane (CH₄) and hydrogen (H₂). Dielectric barrier discharge (DBD) plasma-activated $Ar/CO_2/CH_4/H_2$ was applied to copper nanoparticles supported on zinc oxide (ZnO). The surface species and their reaction pathways were investigated via *in situ* Raman spectroscopy under DBD irradiation. The Raman shift peaks were identified from literature review and density functional theory (DFT) calculations. Acetate (CH₃COO) was produced as the reaction product via a DBD - induced surface reaction. In addition, acetaldehyde (CH₃CHO) was produced by irradiation with DBD -activated H₂O. The conversion of CO₂ and CH₄ to valuable compounds was evaluated via *in situ* observations, and the reaction pathway was examined.

Keywords: CO₂ conversion, carbon recycling, in situ Raman spectroscopy, plasma catalysis.

1. Introduction

Technological developments based on carbon recycling are being actively promoted to reduce carbon dioxide (CO_2) emissions [1, 2]. A catalytic reduction process has been developed to convert CO_2 into valuable resources. Methane (CH₄) is abundant, and dry methane reforming offers significant benefits in the upgradation of biogas to syngas [3, 4] and reduction of greenhouse gas emissions. However, this process requires high-temperature thermal energy, which inevitably involves the combustion of either initial feed or off gas. Thus, this results in CO_2 generation, which is not suitable from the carbon recycling perspective [5].

Researchers have been investigating processes that use renewable electricity to reduce CO_2 emissions. Most of these processes are based on electrochemical reactions [6]; however, photochemical and photoelectrochemical processes [7] that directly use solar energy have recently been reported. In addition, the use of nonthermal plasma generated by renewable electricity has also been considered. A promising technique is the application of a nonthermal plasma–catalyst hybrid reaction. Plasma-excited CO_2 or hydrogen reacts with heterogeneous catalysts during plasma catalysis [8, 9], enabling the conversion of CO_2 into valuable chemicals at low temperatures [10].

Processes that are currently under development as plasma catalysis include CH_4 reforming with CO_2 [11] and direct CO_2 conversion to the building block of C1 chemistry [12,13]. In plasma catalysis, the gas is directly activated and used for the reaction. Therefore, hydrogen produced by the electrolysis of water is not used in this process. In addition, the use of plasma has been investigated in methanation [14], methanol synthesis [15], and water–gas shift reaction [16].

This study examines the plasma catalysis reaction and analyzes the surface reaction dynamics for the synthesis of valuable compounds, a promising approach for CO_2 conversion in the presence of CH_4 . Plasma is generated via dielectric barrier discharge (DBD) [17], which is often used as a high-pressure nonthermal plasma source [18]. A hybrid plasma and catalyst process can be achieved at low temperatures; however, the

reaction mechanism is complex and yet to be fully understood. Plasma produces various types of active species through an intricate production pathway. In addition, active species react in the gas phase and on solid surfaces. A nonthermal plasma-induced surface reaction was analyzed via *in situ* infrared spectroscopy under DBD irradiation to identify the surface adsorbates. To elucidate the reaction mechanism, evaluation using diffuse reflectance infrared Fourier transform spectroscopy (DRIFTS) was conducted [19, 20, 21]. However, even in the *in situ* evaluation, it is difficult to understand the details of the highly reactive surface reaction unless the evaluation is performed while irradiating with plasma.

In this study, an *in situ* Raman spectroscopy (RS) system for plasma catalysis was developed, and copper (Cu) nanoparticles supported on zinc oxide (ZnO) were irradiated with DBD-activated CO₂ and CH₄ to simultaneously measure the surface reaction. This is a unique system that not only can evaluate the surface on which plasma is applied without exposing it to the atmosphere, but can also measure the surface under plasma irradiation at the same time. From technological viewpoint, Raman spectroscopy uses the excitation laser in the visible light region, and thus plasma reactor and optical systems can be constructed by standard materials such as quartz window. Scattered light can be collected and introduced into the spectrometer, the device configuration is simple as an *in situ* evaluation system; thus, it is easy to install the plasma source and heating component. More importantly, infrared (IR) and Raman spectroscopy that can be combined with IR analysis in the future. Surface species were analyzed by vibration analysis using density functional theory (DFT) calculations to investigate unstable species and the reaction pathway [22].

2. Experimental

2.1 In situ RS system under plasma irradiation

ZnO powder (48044-13, Kanto Chemical Co., Inc.) was compressed at 1.5 MPa to obtain 1 mm thick tablets of Ø10 mm. The Cu nanoparticles were sputtered directly onto the tablet. The Cu was deposited at a process pressure of 0.6 Pa at room temperature. The size of the Cu nanoparticles was controlled by the sputtering time, and a film with a thickness of 3 nm was formed. Fig. 1 (a) presents the schematic of the reactor, whereas Fig. 1(b) presents a photograph of the plasma emission and Raman excitation laser. The emissions from the plasma and Raman excitation laser were observed simultaneously. The reaction cell was composed of glass, and a quartz window was placed in front of the glass reactor. The DBD was generated inside a quartz tube to generate a plasma jet, where the ground electrode was wrapped around the quartz tube. The input peak-to-peak voltage for the DBD was 8–15 kV with an alternating-current frequency of 15 kHz.

A mixture of Ar, CO₂, CH₄, and H₂ was introduced into the reactor via mass flow controllers. In addition, H₂O was introduced by bubbling Ar. The water tank was heated using a heater, and the temperature of the H₂O in the tank was set to 348 K. After the catalyst tablet was set, Ar was introduced and allowed to flow for 10 min. Background Raman spectrum was obtained at this time.



Fig. 1. (a) Schematic of the *in situ* Raman system and (b) photograph of simultaneous dielectric barrier discharge (DBD) irradiation and laser emission.

2.2 Vibration analysis of catalytic surface species via DFT

Vibration analysis was performed via DFT using a Cu and ZnO surface cluster model. Gas adsorption primarily occurs on the surface of cubic Cu (111) and hexagonal ZnO (100) [23]. Thus, various surface species were produced on the cubic Cu (111) and hexagonal ZnO (100), and the optimized structure was determined. The (100) surface of hexagonal ZnO [24] was demonstrated in Section 3.1; thus, a two-layer model of the (100) surface of hexagonal ZnO was constructed for DFT calculations. The initial geometrical parameters of the (100) ZnO surface model were obtained from the X-ray structure illustrated in Fig. 3 (a). The chemical species were placed on the first layer of the ZnO surface model. The second layer was fixed, and the chemical species and first layer were allowed to completely relax. Although some imaginary frequencies appeared for the chemical species in CH₃CHO, they primarily originated from the second layer rather than the first, indicating that the vibration analysis was less affected by the imaginary frequencies. All calculations were performed at the B3LYP[25]/ $6-31G^{**}$ [26] level using Gaussian 16 [27]. Vibration analysis was performed after structural optimization [28, 29, 30].

3. Results and discussion

3.1 Observation of Cu nanoparticles on ZnO catalyst

A scanning electron microscopy (SEM, SU8030, Hitachi High-Tech) image of Cu/ZnO is presented in Fig. 2, and the X-ray diffraction (XRD) spectra (Smart Lab, Rigaku) are presented in Fig. 3 (a) and (b). It can be observed that the size of the Cu nanoparticles was approximately 10 nm. In regards to the crystal structure, it can also be observed that Cu has a cubic crystal structure and ZnO has a hexagonal crystal structure [23].

3.2 Surface analysis with X-ray photoelectron spectroscopy

X-ray photoelectron spectroscopy (XPS; Quantera SXM, ULVAC PHI) analysis was performed to determine the change in the sample surface after DBD irradiation. The plasma irradiation time was 10 min. The results are presented in Fig. 4. XPS analysis was performed on three samples: (a) as-prepared catalyst (reference), (b) Ar/H₂/CH₄/CO₂ gas only (without DBD), and (c) DBD-activated Ar/H₂/CH₄/CO₂. Furthermore, Fig. 5 presents the quantitative analysis of the surface functional groups calculated from the peak fitting depicted in Fig. 4. The effect of the surface treatment was changed by the irradiation of DBD. In particular, the C=C–O, C=O, and C–O–C bond-peak intensities increased [31, 32]. These were the effects of plasma-activated CO₂, CH₄, and H₂ reactants.



Fig. 2. Scanning electron microscopy (SEM) image of Cu nanoparticles on ZnO.



Fig. 3. X-ray diffraction (XRD) spectrum of (a) Cu nanoparticles on ZnO. (b) Enlarged image of the spectrum shown in (a).



*Reference is as-prepared Cu/ZnO catalyst.

Fig. 4. X-ray photoelectron spectroscopy (XPS) analysis results of Cu/ZnO. The graphs on the left display the C1s spectra, whereas those on the right display the O1s spectra. (a) Reference indicates as-prepared catalyst sample, (b) Gas only indicates no DBD activation, and (c) DBD irradiation indicates activated gas irradiation for Cu/ZnO. The gases introduced were $Ar/CO_2/CH_4/H_2 = 100/180/40/40$ cm³ min⁻¹, the input voltage was 9 kV_{pp}, and the alternating-current frequency was 15 kHz.



*M in the graph indicates metal (Zn or Cu).

Fig. 5. Quantitative evaluation of surface functional groups via XPS. Reference indicates asprepared catalyst sample, Gas only indicates no DBD activation, and DBD irradiation indicates activated gas irradiation for Cu/ZnO. The gases introduced were $Ar/CO_2/CH_4/H_2 = 100/180/40/40$ cm³ min⁻¹, the input voltage was 9 kV_{pp}, and the alternating-current frequency was 15 kHz.

3.3 In situ Raman spectroscopy of DBD-irradiated Cu/ZnO

Fig. 6 presents the results of the CH₄-dependent *in situ* RS of DBD-activated Ar/CO₂/CH₄ irradiated on ZnO. When the surface temperature of the catalyst during plasma irradiation was confirmed using a thermocamera, it was observed to be lower than 373 K. By increasing the amount of CH₄, a new peak emerged at 3030 cm⁻¹, which is assigned as a CH vibration. When the CH₄ flow rate was further increased, a new peak appeared near 1550 cm⁻¹. This could be attributed to the D-band peak due to amorphous carbon deposition; however, this peak disappeared when the gas was switched to Ar. Ideally, this peak should not disappear when the gas is switched to Ar because amorphous carbon is stable at ambient conditions. Therefore, it is considered that this peak does not correspond to the carbon thin film. According to the literature, this peak can be related to adsorbed hydrocarbons [33].

Fig. 7 presents the results of in situ RS of activated Ar/CO₂/CH₄/H₂ irradiated on Cu/ZnO depending on the H₂ flow rate. H can also be obtained by decomposing CH₄, but H₂ was introduced as an additive gas to verify the effect of hydrogenation. The addition of 10 cm³ min⁻¹ of H₂ drastically increased the peak at approximately 1550 cm⁻¹. This indicates that activated H₂ reacted with the adsorbed CO₂, and its reaction intermediate reacted with the surface CH_4 species. However, when excessive H_2 was introduced, the peak intensity was reduced. This indicates that there are multiple reaction intermediates in the reaction between H₂ and CO₂. Further, CH₄ is adsorbed on Cu as CH₃ [34], and DBD promoted CH₄ chemisorption. CH₄ is vibrationally excited in the plasma [35], followed by adsorbed on Cu at low temperature. The peak at 3030 cm⁻¹ depicted in Fig. 6 (b)-(d) and Fig. 7 (a) is considered to be CH₃ and represents CH stretching vibration [36]. A peak appeared at 1550 cm^{-1} when CH₄ was introduced (Fig. 6). Vibrationally excited CO₂ is known to react with oxygen anions on the oxide and form carbonate [35, 37]. Chemical species corresponding to 1550 cm⁻¹ were formed by the reaction of adsorbed CH3 on Cu or carbonate. In addition, they were formed via direct reactions with CH3 and vibrationally excited CO₂. A 1550 cm⁻¹ peak was generated by the H₂ admixture, as illustrated in Fig. 7 (b) and (c). The adsorption of hydrogen on Cu and ZnO was promoted by plasma-induced activation. On the surface of ZnO, Zn with dangling bonds and H bonded to O were formed to generate surface defects. Activated CO_2 was adsorbed on the oxygen anion (O^{2-}) of ZnO to form carbonate (CO_3^{2-}). Thereafter, it reacted with the adsorbed CH₃ on Cu. This reaction can be considered as the Langmuir-Hinshelwood mechanism. It is conceivable that activated CO_2 reacts directly with CH_3 to form an intermediate product and is considered to follow the Eley-Rideal mechanism.

It is possible that activated CH₄ reacted directly with carbonate on ZnO to form an intermediate product; however, this peak was not observed on the surface without Cu. Therefore, a carbonate or CH₄ reaction via the Eley–Rideal mechanism is unlikely. Activated CO₂ is vibrationally excited by the collision of electrons in the plasma. Subsequently, when hydrogen was introduced, CO₂ reacted with the activated hydrogen in the gas phase to generate CO. Meanwhile, the adsorbed species were reduced by excess activated H₂. Therefore, the amount of vibrationally excited CO₂ was reduced, and the formation of intermediate products was reduced. Fig. 8 presents the results of peak separation at $1200-1700 \text{ cm}^{-1}$ in Fig. 7 (b). The results indicate that there are three components: 1341, 1441, and 1555 cm⁻¹. The species corresponding to these peaks have been attributed to acetate (CH₃COO) in the literature [38, 39]. Notably, the difference is minimal and in good agreement with the results from the DFT calculations. Table 1 presents a comparison between the experimental results, cited literature, and DFT calculations.

In addition, although peak separation was attempted at around 3000 cm^{-1} , it could not be performed because the intensity and signal-to-noise ratio were extremely low and thus difficult to control.



Fig. 6. Effect of CH₄ flow rate on the formation of adsorbed species with DBD-activated Ar/ CO₂ /CH₄ irradiation on Cu/ZnO tablets. The Ar and CO₂ flow rates are 100 and 80 cm³ min⁻¹, respectively. The applied voltage is 9 kV_{pp}, and the alternating-current frequency is 15 kHz. The CH₄ flow rate of (a), (b), (c), (d), and (e) are 0, 10, 30, 40, and 50 cm³ min⁻¹, respectively.



Fig. 7. Effect of H_2 flow rate upon *in situ* Raman spectroscopy results of dielectric barrier discharge (DBD)-activated Ar/ CO₂ /CH₄ /H₂ irradiation on Cu/ZnO tablets. The Ar, CO₂, and CH₄ flow rates are 100, 80, and 40 cm³ min⁻¹, respectively. The applied voltage for DBD is 9 kV_{pp}, and the alternating-current frequency is 15 kHz. The H₂ flow rates of (a), (b), (c), (d), and (e) are 0, 10, 40, 100, and 120 cm³ min⁻¹, respectively.



Fig. 8. Peak separation results in the 1200–1700 cm⁻¹ region of Fig. 7 (b).

In situ RS	DFT (cm ⁻¹)	Literature (cm ⁻¹)	Vibrational mode		
1341	1334		CH ₃ bending-1 (out-of-plane, sym.)	\$\$\$ •	
	1400	1414	CH ₃ bending-2 (out-of-plane, sym.) and C-C stretching		_
1441	1418	1430	CH ₃ bending-3 (out-plane, asym.)		
	1440	1443	CH ₃ bending-4 (out-plane, asym.)	3 3 4	
1555	1556	1578	O–C–O stretching (asym.)	્ય હુન્ચ ∳ ^{વ્ય} ેવ્	_
	2943	2936	CH ₃ stretching-1 (sym.)	*\$*	
3030	3015	2989	CH ₃ stretching-2 (asym.)	**** •**	
	3052		CH ₂ stretching (asym.)	**** •***	

Table 1. Comparison of frequency (cm^{-1}) of *in situ* Raman spectroscopy experimental values, density functional theory (DFT) calculations, literature values for various vibrational modes, and the structural optimization in DFT calculations of CH₃COO on ZnO.

3.4 Effect of DBD-activated H₂O

The effect of DBD-activated H₂O, as a by-product of CO₂/CH₄ reaction, was examined for further hydrogenation of the surface-adsorbed CH₃COO, as evaluated in Section 3.3. In hydrogenation with DBDactivated H_2 , the peak decreases owing to the high reactivity of H_2 , as shown in Section 3.3. Therefore, the details of the reaction were investigated using H_2O , which has a low reactivity for hydrogenation. H_2O was introduced by bubbling water and heated to 348 K with Ar. The effects of DBD-activated H₂O are illustrated in Fig. 9. As depicted in Fig. 9 (b), the introduction of H₂O without DBD did not change the spectrum. Moreover, it can be observed from Fig. 9 (c) that the peak around 1580 cm⁻¹ is narrower and has been shifted to a higher wave number. Fig. 10 shows the results of peak separation at 1200–1700 cm⁻¹, corresponding to Fig. 9 (c). It was observed that this contained a separate peak at 1601 cm⁻¹, which was different from that at 1555 cm⁻¹ and attributed to CH₃COO. In addition, new peaks emerged at 1305, 1425, 1720, 1824, 1913, 2950 and 3068 cm⁻¹. The H₂O activated by the DBD is considered to be vibrationally excited H₂O. From the DFT calculations, the resulting peaks at 1305, 1425, 1601, 2950, and 3068 cm⁻¹ that appeared could be attributed to acetaldehyde (CH₃CHO). Table 2 presents a comparison between the experimental results and DFT calculations [41]. The peaks at 1720, 1824, and 1913 cm⁻¹ could not be identified using DFT. The peak of H adsorbed on the surface appeared in this region [42] is thought to result from the adhesion of H generated by the decomposition of DBD-activated H₂O [40].



Fig. 9. Effect of H₂O irradiation on the surface of Cu/ZnO tablets after Ar/CO₂/CH₄/H₂ plasma treatment measured via *in situ* Raman spectroscopy. (a) Spectrum irradiated with dielectric barrier discharge (DBD)-activated Ar/CO₂/CH₄/H₂. (b) Result of irradiating H₂O after treatment of (a). (c) Result of irradiating DBD-activated H₂O after treatment of (b). (d) Result of maintaining an Ar atmosphere after processing (c). H₂O is introduced by bubbling Ar, maintaining the water tank at 348 K. The Ar flow rate for bubbling is 100 cm³ min⁻¹. The Ar, CO₂, CH₄, and H₂ flow rates are 100, 80, 40 and 40 cm³ min⁻¹, respectively. The applied voltage for DBD is 9 kV_{pp}, and the alternating-current frequency is 15 kHz.



Fig. 10. Peak separation results in the $1200-1700 \text{ cm}^{-1}$ region of Fig. 9 (c).

3.5 Reaction mechanism governing the plasma effect on the ZnO surface

The reaction between the DBD-activated Ar/CO₂/CH₄/H₂ and Cu nanoparticles on ZnO is as follows. Active species adsorbed on the surface are indicated by "*". Vibrationally excited state are indicated by """. Reactions (R1–R14) are described below. Hydrogen atoms were generated by the collision of electrons (R1) and the hydrogen atoms bound to ZnO and Cu (R2). H₂O was vibrationally excited by electrons to produce H₂O^v (R3), and H* and OH* were generated on the surface (R4) [40]. In addition, the electrons collided with CH₄ to generate CH₄^v (R5) [11,35]. CH₄^v produced CH₃* and H* on Cu (R6), and CO₂ produced CO (R7) [43] and CO₂^v (R8) via electron collisions. CO₂ reacted with H to form CO and H₂O (R9) and combined with the oxygen anion sites (O^{2–*}) to form CO₃^{2–*} on ZnO surface (R10) [44].

CH₃* and CO₃^{2-*} on the surface of ZnO formed CH₃COO* and O^{2-*} (R11). Meanwhile, vibrationally excited CH₄ and CO₃^{2-*} are unlikely to react to produce CH₃COO*. This is because CH₃COO was not generated on the ZnO surface without Cu. This indicates that CH₃ adsorbed on Cu (R6) is required for the generation of CH₃COO*. Additionally, CO₂^v and CH₃* on Cu formed CH₃COO* (R12). It has been reported in the literature that H and CO₃²⁻ on the surface form HCOO* [35]. In addition, CO₂^v reacts with H* to form HCOO* [45, 46]. CH₄^v and CO₂^v directly interact with surface species in these reactions, considered to follow the Eley–Rideal mechanism.

In situ RS	DFT (cm ⁻¹)	Vibrational mode			
1305	1337	CH ₃ bending-1 (out-of-plane, sym.)			
	1395	H–C–C bending (in-plane)		3-62 3-6	
1425	1409	CH ₃ bending-2 (out-plane, asym.)			 Q Zn O O C → H
	1418	CH ₃ bending-3 (out-plane, asym.)		_	
1601	1669	CO stretching	د واد چ	_	
	2898	CH stretching	يني. جنگي		
2950	2922	CH ₃ stretching-1 (sym.)	مېرې په د		
_	2978	CH ₂ stretching (asym.)	3-60 3-60 2-1		
3068	3046	CH ₃ stretching-2 (asym.)	مېرې مو		

Table 2. Comparison of frequency (cm^{-1}) of *in situ* Raman spectroscopy experimental values, density functional theory (DFT) calculations for various vibrational modes, and the structural optimization in DFT calculations of CH₃CHO on ZnO.

Because CH₃CHO* is generated when H_2O^v reacts with CH₃COO*, as depicted in Section 3.4, Fig.9 (c), H or H* generated from DBD-activated H_2 did not contribute toward the reaction. Therefore, CH₃CHO was generated by the reaction of H* generated from H_2O^v with CH₃COO* (R13), and this reaction was considered to progress by the Langmuir–Hinshelwood mechanism.

$$H_2 + e^- \rightarrow 2H + e^- \tag{R1}$$

$$H \to H^*$$
 (R2)

$$H_2O + e^- \rightarrow H_2O^v + e^- \tag{R3}$$

$H_2O^{v} \rightarrow H^* + OH^*$	(R4)
$\rm CH_4 + e^- \rightarrow \rm CH_4^v + e^-$	(R5)
$CH_4^{\nu} \rightarrow CH_3^{*} + H^{*}$	(R6)
$\rm CO_2 + e^- \rightarrow \rm CO + O + e^-$	(R7)
$\rm CO_2 + e^- \rightarrow \rm CO_2^v + e^-$	(R8)
$\mathrm{CO_2^v} + 2\mathrm{H} \rightarrow \mathrm{CO} + \mathrm{H_2O}$	(R9)
$\mathrm{CO}_2^{\mathrm{v}} + \mathrm{O}^{2-*} \longrightarrow \mathrm{CO}_3^{2-*}$	(R10)
$\mathrm{CH}_3 ^* + \mathrm{CO}_3 ^{2-*} \longrightarrow \mathrm{CH}_3 \mathrm{COO}^* + \mathrm{O}^{2-*}$	(R11)
$\mathrm{CO}_2^{\mathrm{v}} + \mathrm{CH}_3^* \longrightarrow \mathrm{CH}_3\mathrm{COO}^*$	(R12)
$CH_3COO^* + 2H^* \rightarrow CH_3CHO^* + OH^*$	(R13)

4. Conclusion

This study examined the plasma–catalyst hybrid process and revealed the products formed on the surface, as well as the corresponding reaction pathways of Cu nanoparticles on ZnO catalysts irradiated by DBD-activated Ar/ CO₂/CH₄/H₂ via *in situ* RS and DFT calculations. CH₃COO was produced as the reaction product of DBD-activated gas on a Cu/ZnO catalyst. After the reaction, CH₃CHO was produced by irradiation with DBD-activated H₂O. As a characteristic reaction, CH₃COO was generated by the vibrationally excited CO₂ and CH₄ by DBD. Similarly, CH₃CHO was generated by vibrationally excited H₂O. This study also revealed the effects of activated H₂O, which are by-products of CO₂ and CH₄. These are known from the *in situ* measurements during plasma irradiation. Future investigation of the reaction pathway is required through *in situ* evaluation by Fourier transform infrared spectroscopy can complement the results obtained from RS.

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